

Lecture 3

Last time : q -analogs and space of harmonics

Today : The space of diagonal harmonics
 q, t -analogs
 q, t -rational Catalan combinatorics

Briefly recall space of harmonics

$\mathbb{Q}[x_1, \dots, x_n]$ ring of polynomials in x_1, \dots, x_n

$f \in \mathbb{Q}[x_1, \dots, x_n]$ is symmetric if

$$f(x_1, \dots, x_n) = f(x_{\sigma(1)}, \dots, x_{\sigma(n)}) \quad \text{for } \forall \sigma \in S_n$$

The space of harmonics is

$$H_n = \left\{ h \in \mathbb{Q}[x_1, \dots, x_n] : (\partial F)(h) = 0 \text{ for every symmetric polynomial } F \text{ with no constant term} \right\}$$

$$= \left\{ h \in \mathbb{Q}[x_1, \dots, x_n] : \sum_{i=1}^n (\partial x_i)^k h = 0 \text{ for } k \geq 1 \right\}$$

$$= \text{sp} \left\{ \text{Vandermonde determinant } \prod_{1 \leq i < j \leq n} (x_i - x_j) \text{ and all its partial derivatives of all orders} \right\}$$

notation
 $\partial x_i := \frac{\partial}{\partial x_i}$

And

$$\dim H_n = n!$$

Example $n=2$

$$H_2 = \text{sp} \left\{ \begin{matrix} H_2^0 & H_2^1 \\ 1 & x_1 - x_2 \end{matrix} \right\}$$

$$\dim H_2 = 2! = 2$$

Moreover, H_n can be decomposed into homogeneous components.

$$H_n = \bigoplus_{i=0}^{\binom{n}{2}} H_n^i \longrightarrow \text{homogeneous polynomials of degree } i$$

The q -Hilbert series of H_n is

$$\text{Hilb}_{H_n}(q) := \sum_{i=0}^{\infty} \dim(H_i) q^i$$

In our example for $n=2$,

$$\begin{aligned} \text{Hilb}_{H_2}(q) &= \dim(H_0) \cdot q^0 + \dim(H_1) \cdot q^1 \\ &= 1 + q \end{aligned}$$

In general

$$\text{Hilb}_{H_n}(q) = [n]_q!$$

The space of diagonal harmonics

Let $\mathbb{Q}[x_1, \dots, x_n; y_1, \dots, y_n]$ be the ring of polynomials in two sets of variables x_1, \dots, x_n and y_1, \dots, y_n .

A polynomial $f \in \mathbb{Q}[x_1, \dots, x_n; y_1, \dots, y_n]$ is called (diagonally) symmetric if

$$f(x_1, \dots, x_n, y_1, \dots, y_n) = f(x_{\sigma(1)}, \dots, x_{\sigma(n)}, y_{\sigma(1)}, \dots, y_{\sigma(n)}) \text{ for all } \sigma \in S_n.$$

Examples $f_1 = x_1 + x_2$ and $f_2 = y_1 + y_2$ are symmetric for $n=2$

The space of diagonal harmonics is

$$\begin{aligned} \text{DH}_n &:= \left\{ h \in \mathbb{Q}[x_1, \dots, x_n, y_1, \dots, y_n] : (\partial f)(h) = 0 \text{ for every } \right. \\ &\quad \left. \text{symmetric polynomial } f \text{ with no constant term} \right\} \\ &= \left\{ h \in \mathbb{Q}[x_1, \dots, x_n, y_1, \dots, y_n] : \sum_{i=1}^n (\partial x_i)^r (\partial y_i)^s h = 0 \text{ for } r+s > 0 \right\} \end{aligned}$$

Example $n=2$

$$\text{DH}_2 = \left\{ h \in \mathbb{Q}[x_1, x_2, y_1, y_2] : (\partial x_1)^r (\partial y_1)^s + (\partial x_2)^r (\partial y_2)^s h = 0 \right. \\ \left. \text{for } r+s > 0 \right\}$$

In particular:

$$r=1, s=0 \Rightarrow (\partial x_1 + \partial x_2) h = 0$$

$$r=0, s=1 \Rightarrow (\partial y_1 + \partial y_2) h = 0$$

$$r=1, s=1 \Rightarrow (\partial x_1 \partial y_1 + \partial x_2 \partial y_2) h = 0$$

Exercise:

$$\left. \begin{array}{l} \Rightarrow h \in \text{sp} \{1, x_1 - x_2, y_1 - y_2\} \end{array} \right\}$$

Therefore

$$DH_2 = \text{sp} \{1, x_1 - x_2, y_1 - y_2\}$$

$$\dim DH_2 = 3$$

In general

$$\dim DH_n = (n+1)^{n-1}$$

This was known as the $(n+1)^{n-1}$ conjecture and was proved by Harman in 2002.

Moreover, DH_n can be decomposed into homogeneous components

$$DH_n = \bigoplus_{i,j} DH_n^{i,j}$$

Where $DH_n^{i,j}$ consists of the polynomial of degree i and j in the variables x and y , respectively.

In our example:

$$DH_2 = DH_2^{0,0} \oplus DH_2^{1,0} \oplus DH_2^{0,1}$$

$$= \text{sp}\{1\} \oplus \text{sp}\{x_1 - x_2\} \oplus \text{sp}\{y_1 - y_2\}$$

The q,t -Hilbert series of DH_n is

$$\text{Hilb}_{DH_n}(q,t) := \sum_{i,j} \dim(DH_n^{i,j}) q^i t^j$$

For $n=2$:

$$\text{Hilb}_{DH_2}(q,t) = 1 + q + t$$

↓
This is counting parking functions with some weights/statistics on q and t .

We want to understand what these statistics are combinatorially!

Remarkable Known Facts

- $\text{Hilb}_{DH_n}(q,0) = [n]_q!$
- $q^{\binom{n}{2}} \text{Hilb}_{DH_n}(q,q^j) = [n+1]_q^{n-1}$
- $\text{Hilb}_{DH_n}(q,1) = \sum_{P \in \text{Park}(n)} q^{\text{area}(P)}$

→ Contains the q -analogues from Lecture 2.!

→ one statistic! what is the other?

Example $n=2$ $\text{Hilb}_{\text{DH}_2}(q,t) = 1+q+t$.

- $\text{Hilb}_{\text{DH}_2}(q,0) = 1+q = [2]_q!$
- $q^{\binom{2}{2}} \text{Hilb}_{\text{DH}_2}(q,q^{-1}) = q(1+q+q^{-1})$
 $= q+q^2+1$
 $= [3]_q^1$
- $\text{Hilb}_{\text{DH}_2}(q,1) = 1+q+1$
 $= 2+q = \sum_{P \in \text{Part}(2)} q^{\text{area}(P)}$

Exercise Verify these equalities for $n=3$

In order to verify this one needs to compute DH_3 explicitly.

This is not an easy task but can be done using a result by Mark Haiman called Operator Theorem.

Haiman's Operator Theorem

For $p > 0$, define the polarization operator as the partial differential operator

$$E_p = \sum_{i=1}^n y_i (\partial x_i)^p$$

Example $n=2, p=1$

$$E_1 = y_1 \partial x_1 + y_2 \partial x_2$$

This can be applied to any other polynomial, for example.

$$\left. \begin{aligned} E_1(x_1) &= y_1 \\ E_1(x_2) &= y_2 \end{aligned} \right\} E_1(x_1 - x_2) = y_1 - y_2$$

Operator Theorem (Haiman 2002, conjectured by Haiman 1994)

The space DH_n is the smallest space containing the Vandermonde determinant $\prod_{i < j} (x_i - x_j)$ that is closed under polarizations E_p for $p \geq 1$ and ∂x_i for all i .

↳ Using properties of the Hilbert scheme $\text{Hilb}^n(\mathbb{C}^2)$.

Example n=2

Vandermond determinant : $\Delta = x_1 - x_2$

Polarizations $E_1 \Delta = y_1 - y_2$

$E_p \Delta = 0$ for $p > 1$

Derivatives

$\partial x_1 \Delta = 1$
 $\partial x_2 \Delta = -1$

higher derivatives = 0

Therefore.

$$DH_2 = \text{sp} \{ x_1 - x_2, y_1 - y_2, 1 \}$$

Exercise: Use Harman operator theorem to:

i) Compute DH_2 explicitly

ii) Show that $\dim(DH_2) = 4^2 = 16 \Rightarrow \# \text{ parking functions}$.

iii) Show that

$$\text{Hilb}_{DH_2}(q,t) = 1 + 2q + 2t + 2q^2 + 3qt + 2t^2 + q^3 + q^2t + qt^2 + t^3$$

t^3	1
t^2	2 1
t	2 3 1
1	1 2 2 1
	1 q q^2 q^3

\Rightarrow Symmetric in q,t

What about Catalan numbers?

The ^{sub}space of alternants

We denote by $\sigma = (i,j)$ the permutation that exchanges i and j

For example $(1,2) = [2,1,3]$
for $n=3$ $(1,3) = [3,2,1]$

We say that $h \in DH_n$ is an alternant if

$$\boxed{(i,j) \circ h = -h} \text{ for all } i \neq j$$

We denote by Alt_n the subspace of alternants of DH_n .

Example $n=2$

$$DH_2 = \text{sp} \{1, x_1 - x_2, y_1 - y_2\}$$

$$Alt_2 = \text{sp} \{x_1 - x_2, y_1 - y_2\}$$

Indeed

$$(1,2) \circ (x_1 - x_2) = x_0 - x_1 = -(x_1 - x_2)$$

$$(1,2) \circ (y_1 - y_2) = y_2 - y_1 = -(y_1 - y_2)$$

but $(1,2) \circ (1) \neq -1$

In this case, we have

$$\dim Alt_2 = 2$$

$$\dim DH_2 = 3$$

In general

$$\dim Alt_n = C_n = \frac{1}{n+1} \binom{2n}{n}$$

the n th Catalan number !

$$\dim DH_n = (n+1)^{n-1}$$

Number of parking functions !

Similarly as above, Alt_n decomposes into homogeneous components:

$$Alt_n = \bigoplus_{i,j} Alt_n^{i,j}$$

homogeneous component of degrees i and j in the variable x and y , respectively.

The q,t -Hilbert series of Alt_n is

$$\text{Hilb}_{Alt_n}(q,t) = \sum_{i,j} \dim(Alt_n^{i,j}) q^i t^j$$

Example $n=2$

$$Alt_2 = Alt_2^{1,0} \oplus Alt_2^{0,1}$$

$$= \text{sp} \{x_1 - x_2\} \oplus \text{sp} \{y_1 - y_2\}$$

$$\text{Hilb}_{Alt_2} = q + t$$

→ Counts Catalan objects
What are the statistics ?

Remarkable known facts

- $q^{(2)} \text{Hilb}_{Alt_n}(q, q^{-1}) = \frac{1}{[n+1]_q} \begin{bmatrix} 2n \\ n \end{bmatrix}_q$
- $\text{Hilb}_{Alt_n}(q, 1) = \sum_{\pi \in Dyck(n)} q^{\text{area}(\pi)}$

→ Contains the q -analogs from lecture 2 !

→ one statistic. what is the other.

Example $n=2$

$$\text{Hilb}_{\text{Alt}_2}(q,t) = q+t.$$

$$\begin{aligned} \bullet \quad q^{\binom{2}{2}} \text{Hilb}_{\text{Alt}_2}(q, q^{-1}) &= q(q+q^{-1}) \\ &= q^2 + 1 \\ &= \frac{1}{[3]_q} \begin{bmatrix} 4 \\ 2 \end{bmatrix}_q = \frac{1+q+q^2+q^3}{1+q} \end{aligned}$$

$$\begin{aligned} \bullet \quad \text{Hilb}_{\text{Alt}_2}(q, 1) &= q+1 \\ &= \sum_{\pi \in \text{Dyck}(2)} q^{\text{area}(\pi)} \end{aligned}$$



Exercise Verify these equalities for $n=3$.

The q,t -Catalan number (polynomial) is defined as

$$C_n(q,t) = \text{Hilb}_{\text{Alt}_n}(q,t)$$

By construction, it is a symmetric polynomial in q,t .

Exercise: (i) Compute Alt_3 explicitly

(ii) show that $C_3(q,t) = q^3 + q^2t + qt + qt^2 + t^3$

The purpose of next lecture is to provide combinatorial models for the q,t -Catalan polynomials.

$$C_n(q,t) = \sum_{\pi \in \text{Dyck}(n)} q^{\text{area}(\pi)} t^{\text{dinv}(\pi)}$$

dinv statistic and more...