

Lecture 7

So far in the course :

- Three nice combinatorial sequences  
 $n!$ ,  $C_n = \frac{1}{n+1} \binom{2n}{n}$ ,  $(n+1)^{n-1}$
- Motivated from diagonal harmonic spaces in representation theory
- Beautiful combinatorics
  - qit-catalan
  - zeta map
  - a rational generalization.

Goal for the rest of the course :

- Explore further nice connections to combinatorics and geometry.
- Posets / lattices
  - Polytopes
  - Hyperplane arrangements

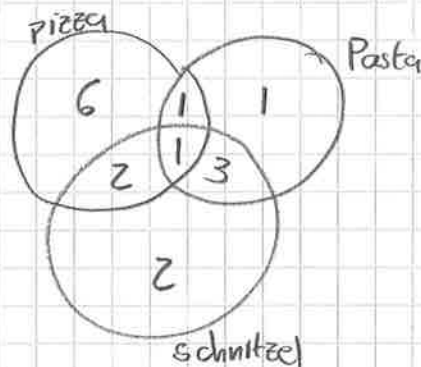
Today : Partially ordered sets.

• Partially ordered set (Poset)

• A warming up problem

A survey <sup>about food preferences</sup> to a group of students gave the following results :

- 10 students like pizza
- 8 " " schnitzel
- 6 " " pasta
- 3 like pizza & schnitzel
- 4 schnitzel & pasta
- 2 pasta & pizza
- 1 likes all three



How many students participated in the survey. ?

Answer :  $1 + (1+2+3) + (1+2+6) = 16 = (10+8+6) - (3+4+2) + 1$

This is an instance of the inclusion-exclusion principle.

An elegant generalization is the Möbius inversion formula for posets.

• Posets

A poset  $P$  is a set with a binary relation  $\leq$  satisfying

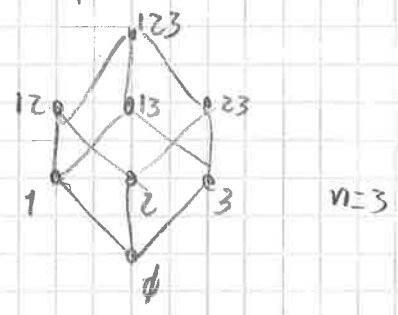
- i) For  $t \in P$ ,  $t \leq t$  (reflexivity)
- ii) If  $s \leq t$  and  $t \leq s$ , then  $s = t$  (antisymmetry)
- iii) If  $s \leq t$  and  $t \leq u$ , then  $s \leq u$  (transitivity)

Examples (1) for  $n \in \mathbb{N}$ , the set  $[n]$  with the usual order



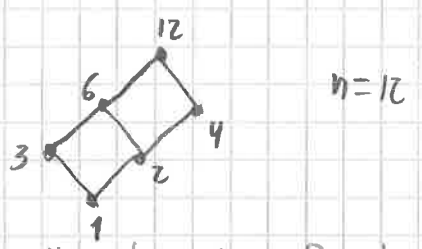
(2) The boolean poset.  $B_n = 2^{[n]}$  of all subsets of  $[n]$  ordered by containment:

$$S \subseteq T \text{ in } B_n \iff S \subseteq T.$$



(3) The set  $D_n$  of all divisors of  $n$  where

$$i \leq j \text{ in } D_n \iff i \text{ divides } j$$



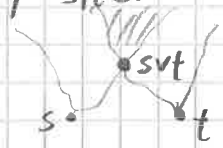
We usually draw the Hasse diagram of  $P$ , a graph whose vertices are the elements of  $P$  and edges are the cover relations  $x \lessdot y$  putting  $x$  below  $y$ .

• Lattices

There is an important class of posets known as lattices.

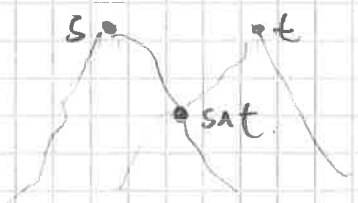
A poset  $P$  is called a lattice if for every  $s, t \in P$

(i) The set of elements greater than or equal to  $s$  and  $t$  has a minimum element which we denote by



$$s \vee t \quad (\text{the } \underline{\text{join}})$$

(ii) The set of elements less than or equal to  $s$  and  $t$  has a maximum element which we denote by



$$s \wedge t \quad (\text{the } \underline{\text{meet}})$$

The following poset is not a lattice



(39)

The <sup>other</sup> three previous examples are lattices

(1)  $([n], \leq)$   $a \vee b = \max\{a, b\}$   
 $a \wedge b = \min\{a, b\}$

(2)  $(\mathcal{Z}^{[n]}, \leq)$   $S \vee T = S \cup T$  union  $\{1, 2\} \vee \{2, 3\} = \{1, 2, 3\}$   
 $S \wedge T = S \cap T$  intersection  $\{1, 2\} \wedge \{2, 3\} = \{2\}$

(3)  $(D_n, \text{divisibility})$   $a \vee b = \text{lcm}(a, b)$  least common multiple  $6 \vee 4 = 12$   
 $a \wedge b = \text{gcd}(a, b)$  greatest common divisor  $6 \wedge 4 = 2$

• The incident algebra

Let  $P$  be a finite poset. Fix  $\mathbb{K}$  a field.

Denote by  $\text{Int}(P)$  the set of intervals of  $P = \{[s, t] \text{ for } s \leq t\}$   
 $\{u \in P : s \leq u \leq t\}$

The incident algebra  $\mathcal{I}(P)$  of  $P$  over  $\mathbb{K}$

is the  $\mathbb{K}$ -algebra of all functions  $f: \text{Int}(P) \rightarrow \mathbb{K}$  ( $\mathbb{K}$ -algebra: vector space with multiplication)

$$f: \text{Int}(P) \rightarrow \mathbb{K}$$

where the multiplication is defined by

$$fg(s, u) = \sum_{s \leq t \leq u} f(s, t)g(t, u)$$

This is an associative algebra with <sup>(two-sided)</sup> identity  $\delta$  defined by

$$\delta(s, t) = \begin{cases} 1, & \text{if } s = t \\ 0, & \text{otherwise} \end{cases}$$

There are two important functions in  $\mathcal{I}(P)$ : the zeta function  
the Möbius function

The zeta function  $\zeta$  is defined by

$$\zeta(t, u) = 1 \quad \text{for all } t \leq u \text{ in } P$$

Therefore

$$\begin{aligned} \zeta^2(s, u) &= \sum_{s \leq t \leq u} \zeta(s, t) \zeta(t, u) = \sum_{s \leq t \leq u} 1 \\ &= |[s, u]| \quad \text{number of elements in the interval } [s, u] \end{aligned}$$

More generally

$$\begin{aligned} \zeta^K(s, u) &= \sum_{s=s_0 \leq s_1 \leq \dots \leq s_K=u} 1 \\ &= \# \text{ multi-chains of length } K \text{ from } s \text{ to } u \\ &\quad \downarrow \\ &\quad \text{with possible repetitions} \end{aligned}$$

Similarly

$$(\zeta - 1)(s, u) = \begin{cases} 1, & \text{if } s < u \\ 0, & \text{if } s = u \end{cases}$$

and

$$(\zeta - 1)^K(s, u) = \# \text{ of chains } s = s_0 < s_1 < \dots < s_K = u \text{ of length } K$$

The Möbius function is the inverse of the zeta function. :

$$\mu \zeta = \delta \quad (\text{Also } \zeta \mu = \delta)$$

This is equivalent to

$$\mu(s, s) = 1$$

$$\sum_{s \leq u \leq t} \mu(s, u) = 0 \quad \text{for } s < t$$

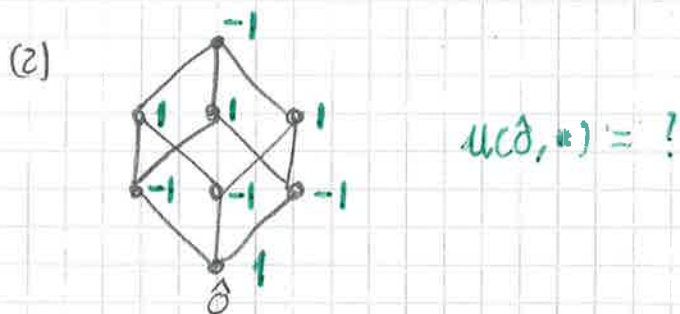
equivalent to

$$\mu(s, t) = - \sum_{s \leq u < t} \mu(s, u)$$

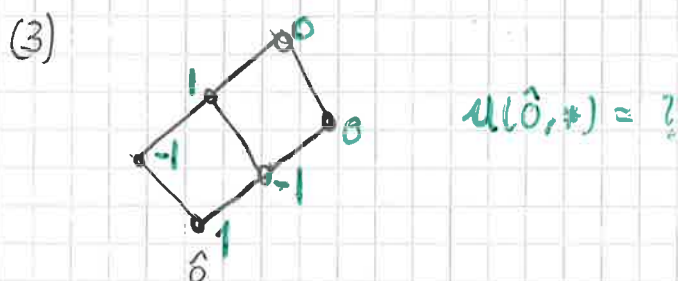


### Examples

(1) 
$$\begin{array}{c} 3 \circ \\ 2 \bullet \\ 1 \circ \\ \delta \end{array} \quad \begin{array}{l} \mu(0,3) = 0 \\ \mu(1,2) = -1 \\ \mu(1,1) = 1 \end{array} \quad \text{because } -1+1 = 0$$



Exercise: Boolean poset  $B_n = \mu(s, T) = (-1)^{|T \setminus s|}$



Exercise: Poset  $D_n$  of divisors of  $n$

$$\mu(r, s) = \begin{cases} (-1)^t & \text{if } s/r \text{ is a product of } t \text{ distinct primes} \\ 0 & \text{otherwise} \end{cases}$$

So  $\mu(r, s)$  is the classical number-theoretic Möbius function  $\mu(s/r)$

- The Möbius inversion formula

Theorem let  $P$  be a finite poset and  $f, g: P \rightarrow K$ . Then

$$g(t) = \sum_{s \leq t} f(s) \quad \text{for all } t \in P$$

if and only if

$$f(t) = \sum_{s \leq t} g(s) \mu(s, t) \quad \text{for all } t \in P.$$

Proof Let  $f: P \rightarrow K$  and  $\mathbb{E} \in I(P)$   
 The incidence algebra  $I(P)$  acts <sup>(on the right)</sup> on the vector space of functions from  $P \rightarrow K$  by

$$(f \mathbb{E})(t) = \sum_{s \leq t} f(s) \mathbb{E}(s, t)$$

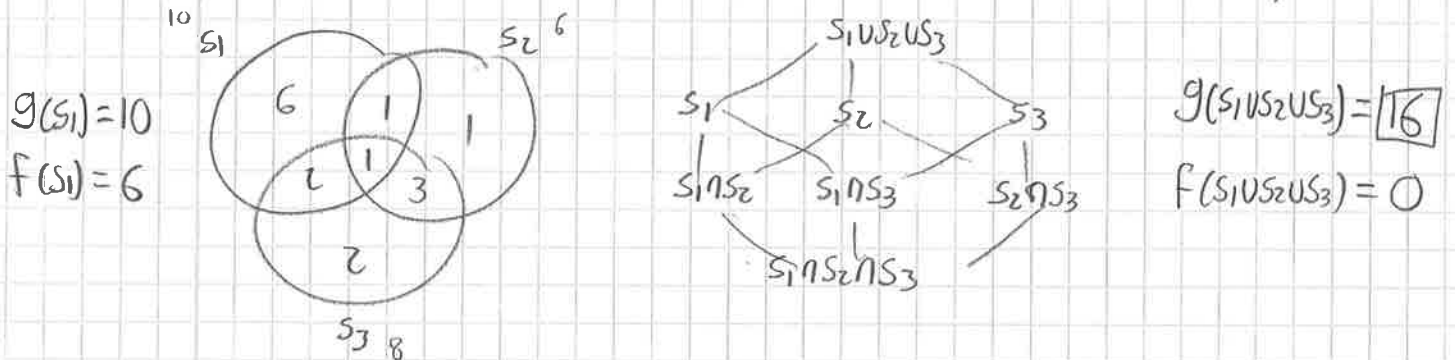
The Möbius inversion formula is equivalent to

$$fz = g \iff f = gu$$

which follows from  $z^{-1} = u$  ■

- Back to the warming up pizza-schnitzel-pasta problem (inclusion-exclusion)

Let  $S_1, \dots, S_n$  be finite sets and  $P$  be the poset whose elements are all intersections and the total union  $S_1 \cup \dots \cup S_n$ , ordered by inclusion



For  $T \in P$ , let  $g(T) = |T|$  be the number of elements in  $T$ .

We want to compute  $g(\hat{1})$ .

Let  $f(T)$  be the number of elements of  $T$  that belong to no  $T' < T$  in  $P$ .

Therefore

$$g(T) = \sum_{T' \leq T} f(T')$$

Using Möbius inversion

$$0 = f(\hat{1}) = \sum_{T \in P} g(T) \mu(T, \hat{1})$$

$$\implies g(\hat{1}) = - \sum_{T < \hat{1}} |T| \mu(T, \hat{1})$$

In our example:  $g(\hat{1}) = (10 + 6 + 8) - (2 + 3 + 4) + (1) = 16$