

Course: Topics on combinatorics, algebra and geometry  
19.1.2024  
Cesar Ceballos.

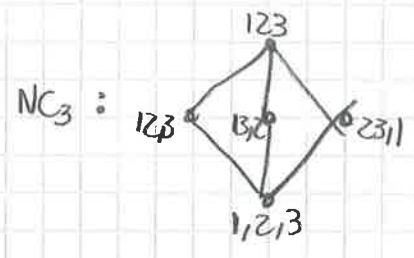
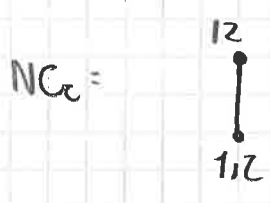
Lecture 9

Last time : - Weak order & Tamari lattice  
- Lattice of partitions & noncrossing partitions

Today : - Lattice of noncrossing partitions  
• multichains  
• Möbius function  
- Polytopes  
• Permutahedron  
• Associahedron

• The lattice of noncrossing partitions

Let  $NC_n$  be the lattice of noncrossing partitions of  $[n]$  ordered by refinement.



We are interested in counting the number of multichains.

$$x_1 \leq x_2 \leq \dots \leq x_k$$

in  $NC_n$ . For  $k=1$ , we get  $|NC_n| = C_n = \frac{1}{n+1} \binom{2n}{n}$

In general, this is equal to

$$\begin{aligned} Z(NC_n, k+1) &:= Z_{NC_n}^{k+1}(\hat{0}, \hat{1}) \\ &= \# \text{ multichains} \\ &\quad \hat{0} = x_0 \leq x_1 \leq \dots \leq x_k \leq x_{k+1} = \hat{1} \end{aligned}$$

which is a polynomial in  $k$  (Exercise 7.4).

Evaluating, this polynomial at  $k=-2$  we recover the Möbius function

$$\mu_{NC_n}(\hat{0}, \hat{1}) = Z_{NC_n}^{-1}(\hat{0}, \hat{1})$$

noncombinatorial      combinatorial      generalization      bijective

**Theorem** [Kreweras '72, Poupard '72, Edelman '80 '82]

The number of multichains  $X_1 \leq X_2 \leq \dots \leq X_k$  in the noncrossing partition lattice  $NC_n$  is given by the Fuss-Catalan number

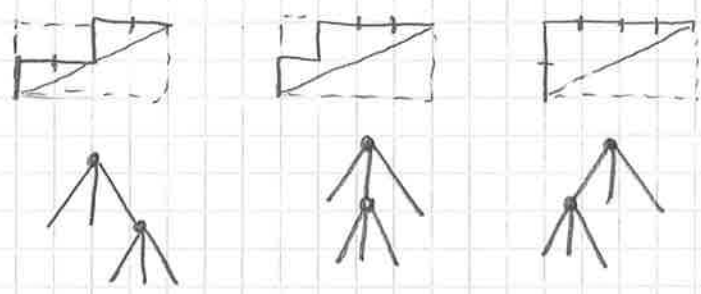
$$\frac{1}{kn+1} \binom{(k+1)n}{n} \quad (*)$$

For  $k=1$ ,  $(*) = C_n = |NC_n|$  ✓

In general,  $(*)$  counts the number of (Exercise 1.2)

- lattice paths from  $(0,0)$  to  $(kn,n)$  that stay weakly above the diagonal of the  $kn \times n$  rectangle.
- rooted plane  $(k+1)$ -ary trees with  $n$  internal nodes (each internal node has  $k+1$  children)

For  $n=2, k=2$  :  $\frac{1}{4+1} \binom{3 \times 2}{2} = \frac{1}{5} \frac{6 \times 5}{2 \times 1} = 3$ .

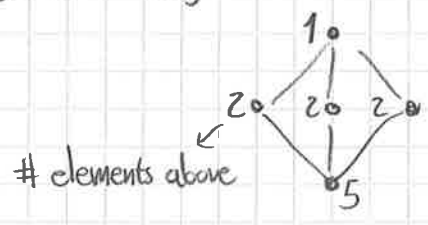


Intervals in  $NC_2$  :



For  $n=3, k=2$  :  $\frac{1}{6+1} \binom{3 \times 3}{3} = \frac{1}{7} \frac{9 \times 8 \times 7}{3 \times 2 \times 1} = 12$

Intervals in  $NC_3$  :



$1 + 2 + 2 + 2 + 5 = 12$

Proof (Based on Edelman '82)

We present a bijection

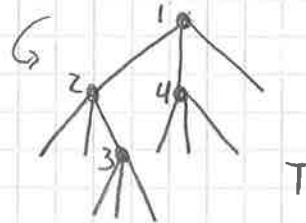
rooted plane  
(k+1)-ary trees  
T



Multichains in  $NC_n$ :  
 $\hat{0} = X_0 \leq X_1 \leq \dots \leq X_k \leq X_{k+1} = \hat{1}$

Let T be a (k+1)-ary tree. Label internal nodes in preorder

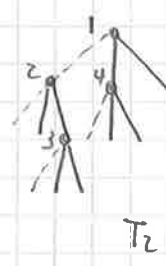
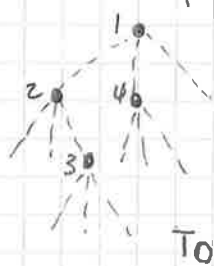
For  $i=0,1,\dots,k+1$   
Define  $T_i$  be the subgraph obtained from T by removing all child edges of each node except the last  $i$  edges



- $i=0$  remove all edges
- $i=1$  remove all but the last
- $i=2$  remove all but the last two
- !
- $i=k+1$  Don't remove anything

Let  $X_i$  be the partition of  $[n]$  whose blocks are the labels of the connected components of  $T_i$

In our example:



$X_0 = 1, 2, 3, 4$

$X_1 = 1, 2, 3, 4$

$X_2 = 1, 4, 2, 3$

$X_3 = 1, 2, 3, 4$

Claim  $X_i$  is a noncrossing partition for  $i=0,1,\dots,k+1$  (Exercise)

Moreover:  $\hat{0} = X_0 \leq X_1 \leq \dots \leq X_{k+1} = \hat{1}$

and the map  $T \rightarrow \hat{0} = X_0 \leq X_1 \leq \dots \leq X_{k+1} = \hat{1}$  is a bijection.

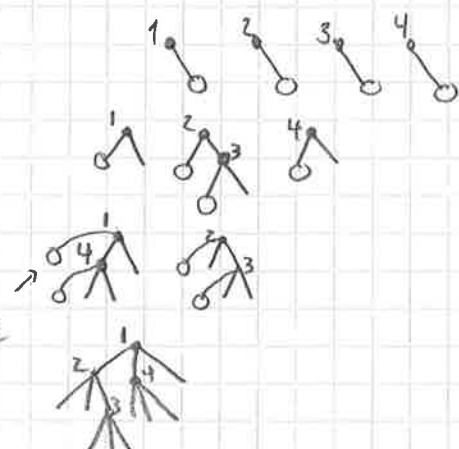
The inverse :

$X_0 = 1, 2, 3, 4$

$X_1 = 1, 2, 3, 4$

$X_2 = 1, 4, 2, 3$

$X_3 = 1, 2, 3, 4$

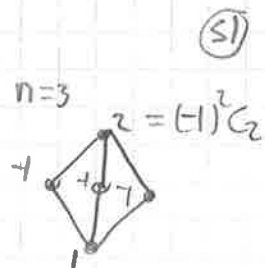


add an edge to each node  
glue together the blocks that merge.  
repeat the process

unique! glue here

Corollary

$$\mathcal{U}_{NC_n}(\hat{0}, \hat{1}) = (-1)^{n-1} C_{n-1}$$



Proof We showed that

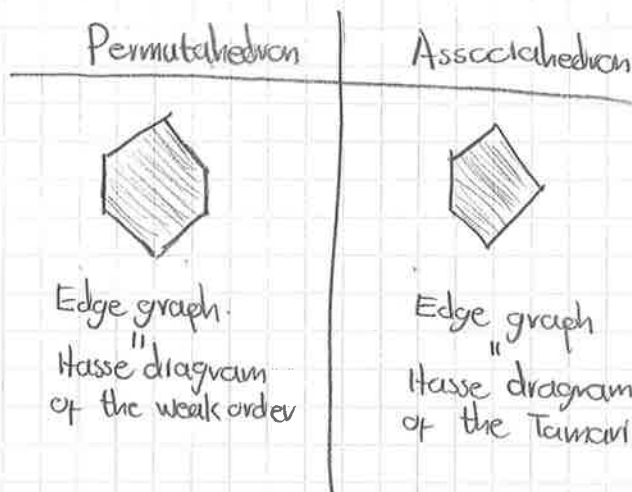
$$z^{k+1} \mathcal{U}_{NC_n}(\hat{0}, \hat{1}) = \frac{1}{k+1} \binom{(k+1)n}{n}$$

Evaluating at  $k=-2$ , we get.

$$\begin{aligned} \mathcal{U}_{NC_n}(\hat{0}, \hat{1}) &= z^{-1} \mathcal{U}_{NC_n}(\hat{0}, \hat{1}) = \frac{1}{-2n+1} \binom{-n}{n} \\ &= \frac{1}{-2n+1} \frac{(-n)(-n-1)(-n-2) \dots (-n-n+1)}{n(n-1)(n-2) \dots (1)} \\ &= (-1)^{n-1} \frac{1}{n} \binom{2n-2}{n-1} \\ &= (-1)^{n-1} C_{n-1} \quad \blacksquare \end{aligned}$$

• Geometry

Two remarkable polytopes



⇒ A much richer structure than just the poset.

• The permutahedron

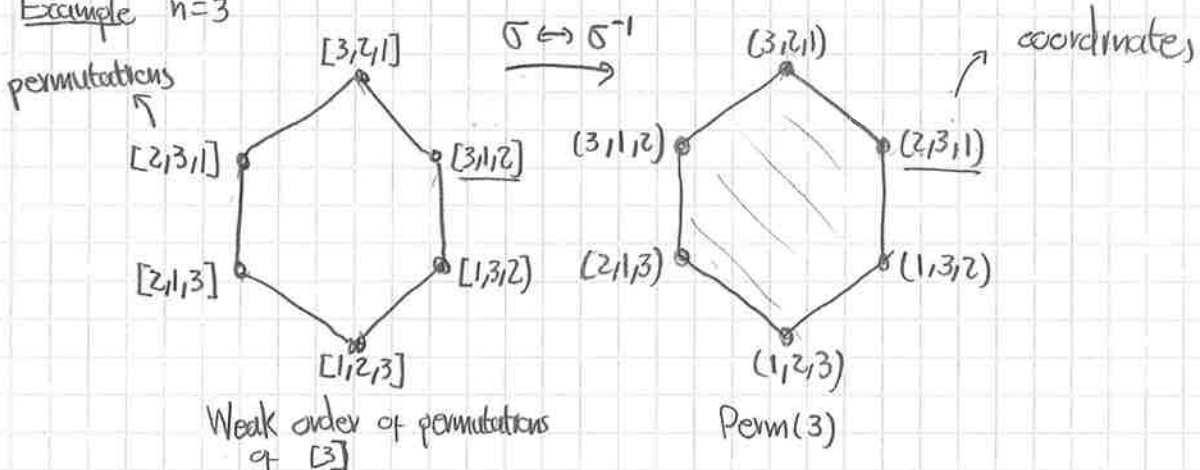
Let  $n \in \mathbb{N}$ . The permutahedron  $\text{Perm}(n)$  is the convex hull of all permutations of  $[n]$ .

$$\text{Perm}(n) = \text{conv} \{ (i_1, \dots, i_n) : \{i_1, \dots, i_n\} = [n] \} \subseteq \mathbb{R}^n$$

Since the sum of the coordinates of all vertices is constant  $= 1+2+\dots+n$  then  $\text{Perm}(n)$  lies in an  $(n-1)$ -dimensional affine space in  $\mathbb{R}^n$ .

$$\dim(\text{Perm}(n)) = n-1$$

Example  $n=3$



Cover relations:  
 $[\dots \overset{i}{a} \overset{i+1}{b} \dots] \prec [\dots \overset{i+1}{b} \overset{i}{a} \dots]$   
 swap consecutive positions

Edges:  
 $(\dots \overset{a}{i} \dots \overset{b}{i+1} \dots) - (\dots \overset{b}{i+1} \dots \overset{a}{i} \dots)$   
 swap consecutive values

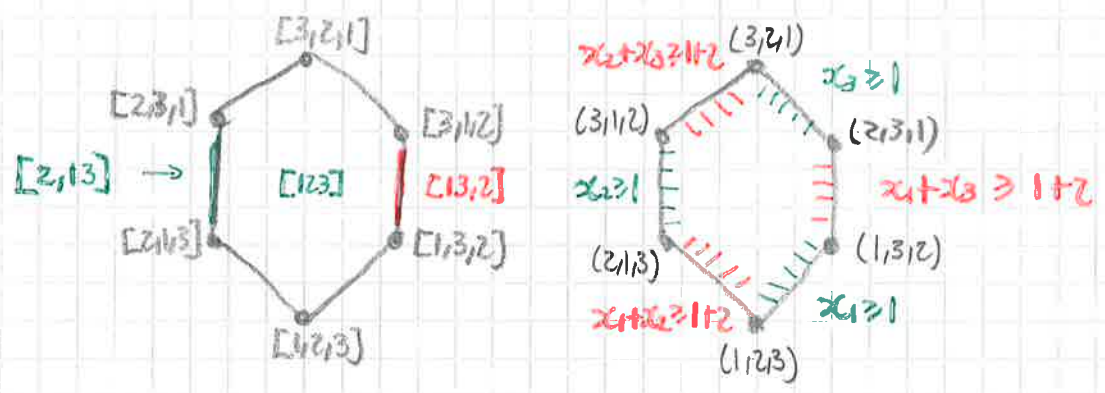
• Defining inequalities

Perm(n) lies in the affine space

$$x_1 + \dots + x_n = 1 + \dots + n.$$

And satisfies the following inequalities for each <sup>nonempty proper</sup> subset  $A \subseteq [n]$

$$\sum_{a \in A} x_a \geq 1 + z_A + |A|$$



• Characterization of the faces

The faces are in correspondence with ordered partitions  $[B_1, \dots, B_k]$  of  $[n]$

A face  $[B_1, \dots, B_k]$  is contained in a face  $[B'_1, \dots, B'_l]$  if each  $B_i$  is contained in some  $B'_j$  ( $B$  refines  $B'$ )

In particular, the vertices of  $[B_1, \dots, B_k]$  are obtained by all possible permutation of the elements inside the blocks

For instance: the face  $[2,1,3]$  (an edge) contains vertices  
 $[2,1,3]$   
 $[2,3,1]$

The dimension of  $[B_1, \dots, B_k]$  is  $n - k$ .

And this face is combinatorially the product of smaller permutahedra  
 $\text{Perm}(|B_1|) \times \dots \times \text{Perm}(|B_k|)$

• Many nice applications / properties

1 vertex

[1]

Perm(1)

1 edge  
2 vertices

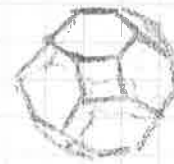
[21]  
[12]

Perm(2)

1 hexagon  
6 edges  
6 vertices



Perm(3)



Perm(4)

1 2D permut.  
8 hexagons & 6 squares  
36 edges  
24 vertices

• Inverting power series (Ardila - Aguarar '17+ '23)

The number of faces of Perm(n) has nice applications in the context of formal power series =

If  $(1 + a_1x + \frac{a_2x^2}{2!} + \frac{a_3x^3}{3!} + \dots)(1 + b_1x + \frac{b_2x^2}{2!} + \dots) = 1$

then

$b_1 = -a_1$

$b_2 = -a_2 + 2a_1a_1$

$b_3 = -a_3 + 6a_2a_1 + 6a_1a_1a_1$

$b_4 = -a_4 + (8a_3a_1 + 6a_2a_2) - 36a_2a_1a_1 + 24a_1a_1a_1a_1$

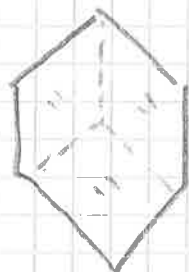
⋮

Each face  $[A_1, \dots, A_k]$  contributes a term

$(-1)^k a_{|A_1|} a_{|A_2|} \dots a_{|A_k|}$

to that expression!

• The volume of permutahedra (Postnikov '09)



Volume = 3

Volume (Perm(n)) =  $n^{n-2}$  parking function [Postnikov]