

Lecture 2

Today :  $q$ -analogs  
the space of harmonics.

•  $q$ -analogs

Given  $n \in \mathbb{N}$ , define  $[n]_q = 1 + q + q^2 + \dots + q^{n-1}$

Evaluating  $[n]_q$  at  $q=1$  we recover the number  $n$ .

Using this we can define  $q$ -analogs of various combinatorial sequences

- Factorial numbers
- Catalan numbers
- Parking functions.

• The  $q$ -factorial number is

$$[n]_q! := [1]_q [2]_q \dots [n]_q$$

Example  $n=3$  :

$$\begin{aligned}
 [3]_q! &= [1]_q [2]_q [3]_q = 1 \cdot (1+q) \cdot (1+q+q^2) \\
 &= 1 + 2q + 2q^2 + q^3
 \end{aligned}$$

• For  $n \geq k \in \mathbb{N}$ , the  $q$ -binomial number is

$$\begin{aligned}
 \begin{bmatrix} n \\ k \end{bmatrix}_q &:= \frac{[n]_q!}{[n-k]_q! [k]_q!} \quad \text{Is it a polynomial?}
 \end{aligned}$$

Example  $n=4, k=2$

$$\begin{aligned}
 \begin{bmatrix} 4 \\ 2 \end{bmatrix}_q &= \frac{[4]_q!}{[2]_q! [2]_q!} = \frac{[4]_q [3]_q}{[2]_q [1]_q} = \frac{(1+q+q^2+q^3)(1+q+q^2)}{(1+q)} \\
 &= (1+q^2)(1+q+q^2) = 1+q+2q^2+q^3+q^4
 \end{aligned}$$



Hint:  $[m+n]_q = [n]_q + q^n [m]_q$

Exercise Show that  $\begin{bmatrix} m+n \\ m \end{bmatrix}_q = \sum q^{\text{area}(\pi)}$  where the sum runs over all lattice paths from  $(0,0)$  to  $(m,n)$ .

• Two q-analogs of the Catalan numbers and parking functions

First q-analog:

- The first q-Catalan number is

$$\frac{1}{[n+1]_q} \begin{bmatrix} 2n \\ n \end{bmatrix}_q$$

Is it a polynomial? If so, what is it counting?

Example n=2

$$\frac{1}{[3]_q} \begin{bmatrix} 4 \\ 2 \end{bmatrix}_q = \frac{[4]_q}{[2]_q [2]_q} = \frac{1+q+q^2+q^3}{1+q} = \boxed{1+q^2}$$



Counting Dyck paths? which weights?

Example n=3

$$\frac{1}{[4]_q} \begin{bmatrix} 6 \\ 3 \end{bmatrix}_q = \frac{[6]_q [5]_q}{[3]_q [2]_q} = \frac{(1+q^3)(1+q+q^2+q^3+q^4)}{(1+q)} = \boxed{1+q^2+q^3+q^4+q^6}$$



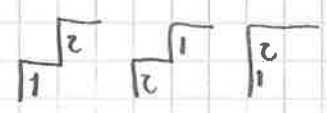
What are the statistics? exponents

- The first q-parking function number is

$$[n+1]_q^{n-1}$$

Example n=2

$$\boxed{[3]_q^1 = 1+q+q^2}$$



Counting weighted parking functions?

Example n=3

$$\boxed{[4]_q^2 = (1+q+q^2+q^3)^2 = 1+2q+3q^2+4q^3+3q^4+2q^5+q^6}$$

Second q-analogs

- The second q-Catalan number is

$$C_n(q) := \sum_{\pi \in Dyck(n)} q^{\text{area}(\pi)}$$

$\text{area}(\pi) :=$  # boxes between the main diagonal and  $\pi$ .

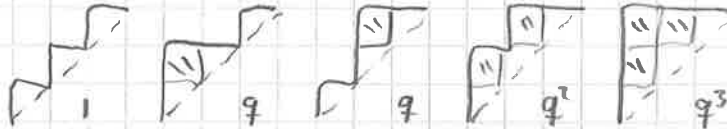
Example  $n=2$



$$C_2(q) = 1 + q$$

(different to what we get before)

$n=3$



$$C_3(q) = 1 + 2q + q^2 + q^3$$

- The second q-parking function number is

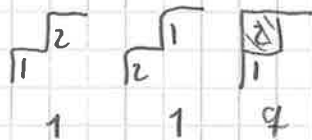
$$\text{Park}_n(q) := \sum_{P \in \text{Park}(n)} q^{\text{area}(P)}$$



$\text{area}(P) = 2$

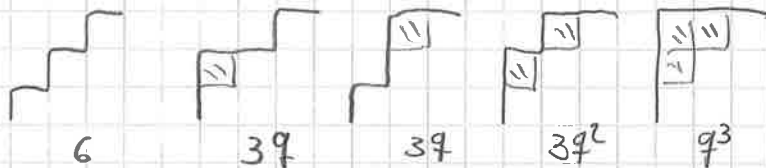
$\text{area}(P) :=$  area of the Dyck path supporting P

Example  $n=2$



$$\text{Park}_2(q) = 2 + q$$

$n=3$



$$\begin{aligned} \text{Park}_3(q) &= 6 + 3q + 3q + 3q^2 + q^3 \\ &= 6 + 6q + 3q^2 + q^3 \end{aligned}$$

- Goal of this <sup>and coming</sup> lecture : introduce qit-analogs that generalize the previous q-analogs using diagonal harmonics
- Ingredients : polynomial rings  
representation theory of the symmetric group.

• The space of harmonics.

Let  $\mathbb{Q}[x_1, x_2, \dots, x_n]$  be the ring of polynomials in  $n$  variables  $x_1, \dots, x_n$ .

A polynomial  $f \in \mathbb{Q}[x_1, \dots, x_n]$  is called symmetric if

$$f(x_1, \dots, x_n) = f(x_{\sigma(1)}, \dots, x_{\sigma(n)})$$

for every permutation  $\sigma$  of  $[n]$

Example:  $n=2$   
 $f(x_1, x_2) = x_1 + x_2$   
 $f(x_1, x_2) = x_1^2 + x_2^2$

We denote by  $\mathcal{I}$  the ideal generated by symmetric polynomials with no constant term.

The space of harmonics is

$$\mathcal{H}_n := \{ h \in \mathbb{Q}[x_1, \dots, x_n] : (\partial f)(h) = 0 \quad \forall f \in \mathcal{I} \}$$

Where  $\partial f$  is the partial differential operator

$$\partial f := f \left( \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right)$$

Example

$$f(x_1, x_2) = x_1 + x_2 \quad \Rightarrow \quad \partial f = \frac{\partial}{\partial x_1} + \frac{\partial}{\partial x_2}$$

Since  $\mathcal{I}$  is generated by power-sum symmetric functions

$$p_k(x_1, \dots, x_n) = x_1^k + \dots + x_n^k$$

We alternatively have

$$\mathcal{H}_n = \left\{ h \in \mathbb{Q}[x_1, \dots, x_n] : \sum_{i=1}^n \frac{\partial^k}{(\partial x_i)^k} h = 0 \quad \text{for } k \geq 1 \right\}$$

Example  $n=2$

$$\mathcal{H}_2 = \left\{ h \in \mathbb{Q}[x_1, x_2] : \left( \frac{\partial^k}{(\partial x_1)^k} + \frac{\partial^k}{(\partial x_2)^k} \right) h = 0 \quad \text{for } k \geq 1 \right\}$$

For instance,  $\left( \frac{\partial}{\partial x_1} + \frac{\partial}{\partial x_2} \right) h = 0$

From this we can deduce that  $\deg h \leq 1$  and

One can check that

$$\mathcal{H}_2 = \text{sp} \{ 1, x_1 - x_2 \}$$

$$\dim \mathcal{H}_2 = 2$$

In general -

Exercise Show that

i)  $H_n = \text{sp} \left\{ \begin{array}{l} \text{Vandermonde determinant } \prod_{1 \leq i < j \leq n} (x_i - x_j) \\ \text{and all its partial derivatives of all orders} \end{array} \right\}$

ii)  $\boxed{\dim H_n = n!}$

iii) Explicitly compute  $H_3$ .

Moreover,  $H_n$  can be decomposed into homogeneous components.

$$H_n = \bigoplus_{i=0}^{\binom{n}{2}} H_n^i$$

Where  $H_n^i$  is the span of homogeneous polynomials of degree  $i$  in  $H_n$ .

Example  $n=2$

$$H_2 = \overset{\rightarrow 1}{\text{sp}\{1\}} \oplus \overset{\rightarrow 2}{\text{sp}\{x_1 - x_2\}}$$

$$= H_2^0 \oplus H_2^1$$

The  $q$ -Hilbert series of  $H_n$  is defined by

$$\text{Hilb}_{H_n}(q) = \sum_{i=0}^{\binom{n}{2}} \dim(H_n^i) q^i$$

Example  $n=2$

$$\text{Hilb}_{H_2}(q) = 1 + q$$

Exercise Show that

$$\boxed{\text{Hilb}_{H_n}(q) = [n]_q!}$$

Remark The symmetric group acts on  $\mathbb{Q}[x_1, \dots, x_n]$  by permuting indices. Furthermore, since

$$(\partial(\sigma \cdot f))(\sigma \cdot h) = \sigma \cdot (\partial f)(h)$$

We have that  $H_n$  is closed under this action.

Exercise (optional): Show that the action of the symmetric group on  $H_n$  gives the regular representation.