

Course: Topics in combinatorics, algebra and geometry  
26.1.2024  
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Lecture 10

Last time

Started with geometry: Polytopes  
- Permutohedron.

Today

Continue with polytopes:  
- Associahedron  
- Pitman-Stanley polytope.  
(if time allows)

• The associahedron

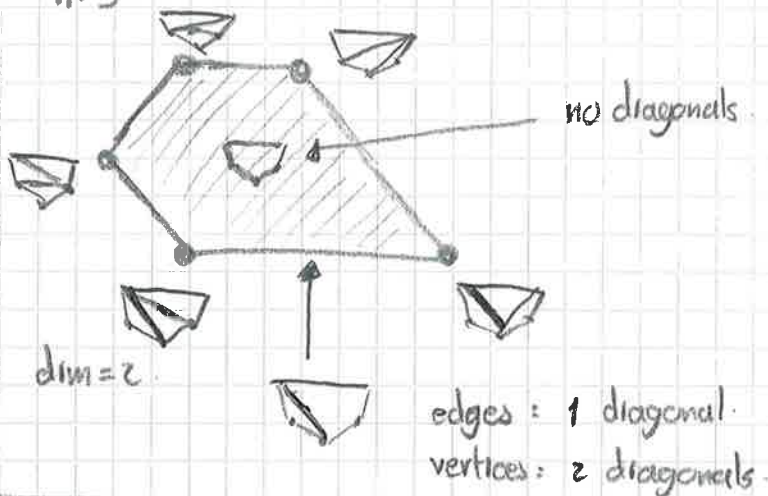
Let  $n \in \mathbb{N}$ . The associahedron  $Asso(n)$  is a convex polytope whose

- vertices : triangulation of a convex  $(n+2)$ -gon.
- facets : diagonals. " " "
- faces : subdivisions

Example  $n=2$



$n=3$



In general:

$\text{dimension } Asso(n) = n-1$ $k\text{-dimensional face : subdivisions using } n-1-k \text{ diagonals}$
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Note that the previous definition of  $Asso(n)$  is purely combinatorial, and it is a priori not clear how to obtain a geometric realization of it.

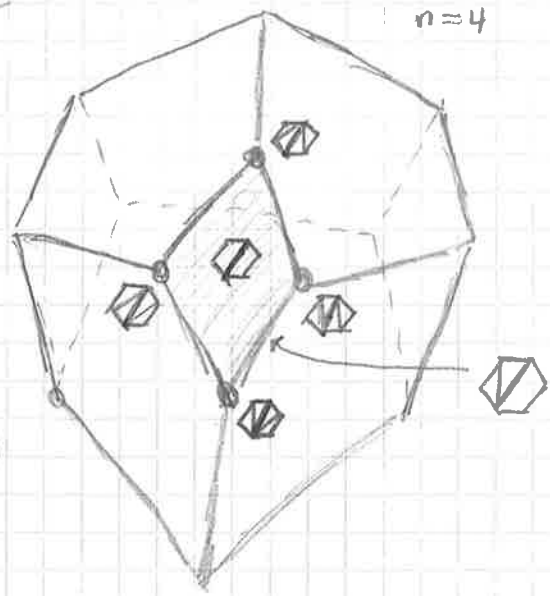
The associahedron has a long interesting history:

- Tamari '51 : 3D figure

- First polytopal geometric realizations:

Hamman '84  
Lee '89

- Since then many different constructions emerged.



• The associahedron from the permutahedron

by removing some facets (Shnider-Sternberg '93)  
Hohlweg-Lange '07, ...)

We can obtain  $\text{Asso}(n)$  as the set of points  $(x_1, \dots, x_n) \in \mathbb{R}^n$  satisfying

$$x_1 + \dots + x_n = 1 + 2 + \dots + n$$

and one inequality for each diagonal of a convex  $(n+2)$ -gon:

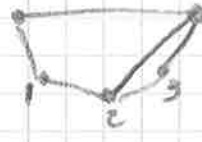
$n=3$



$$x_1 \geq 1$$



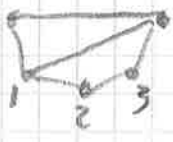
$$x_2 \geq 1$$



$$x_3 \geq 1$$

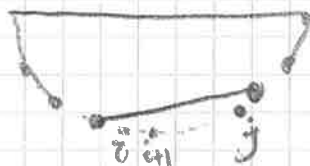


$$x_1 + x_2 \geq 1 + 2$$



$$x_2 + x_3 \geq 1 + 3$$

In general



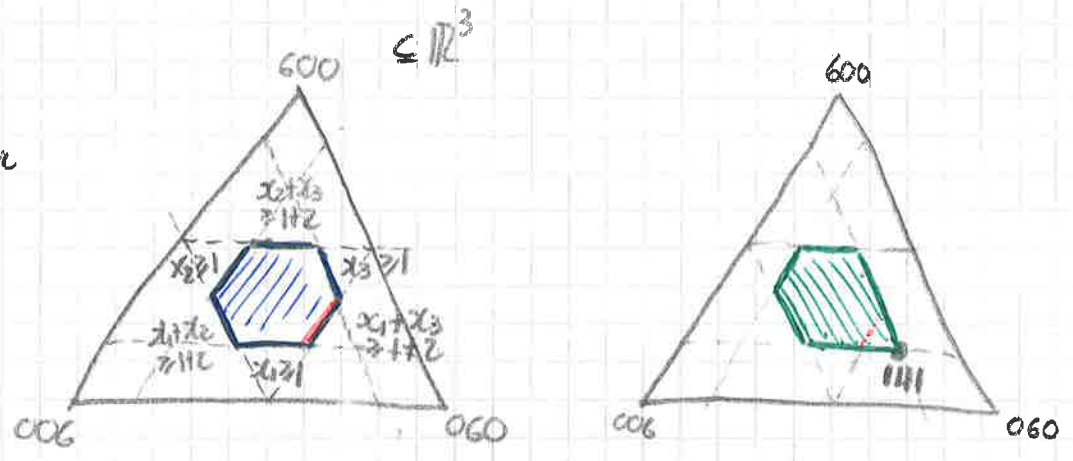
$$x_i + x_{i+1} + \dots + x_j \geq 1 + 2 + \dots + |I|$$

$I = [i, j]$  interval between  $i$  and  $j$   
 $= \{i, i+1, \dots, j\}$

For every interval  $I \subseteq [n]$ :

$$\sum_{k \in I} x_k \geq 1 + \dots + |I|$$

This is a subset of inequalities of the defining inequalities of the permutahedron.

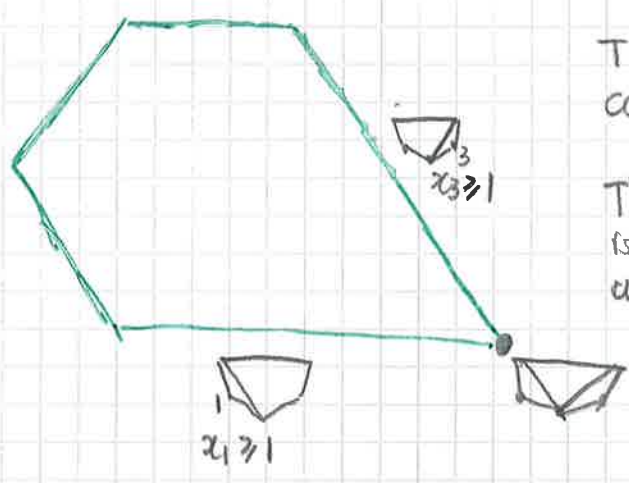


Perm(3)

Asso(3)

Keep all inequalities except for  $x_1+x_3 \ge 1+2$

$\{1,3\}$  not an interval



The facets (inequalities) correspond to diagonals

The intersection of several facets is labelled by the subdivision using the corresponding diagonals.

- Loday's beautiful coordinates description of the vertices (Loday '04)

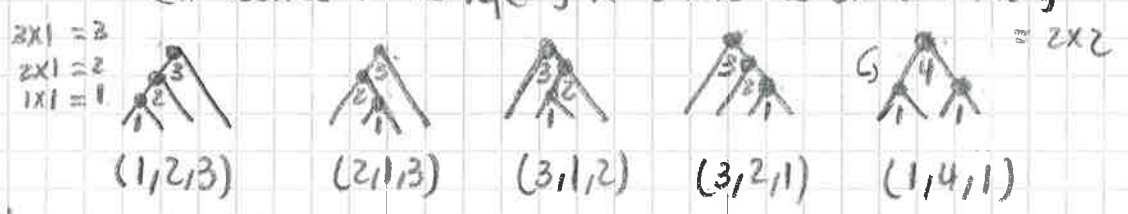


has coordinate (1,4,1)



Given a binary tree with  $n$  internal nodes label the nodes by the product.

(# leaves on its left) x (# leaves on its right)



Then read labels in m-order



• Assocn as a Minkowski sum (Pastnikov'09)

For a subset  $A \subseteq [n]$  define:

$$\Delta_A = \text{conv} \{ e_a : a \in A \}$$

where  $e_1, \dots, e_n \in \mathbb{R}^n$  are the standard basis vectors.

The Minkowski sum of two polytopes  $P$  and  $Q$  is

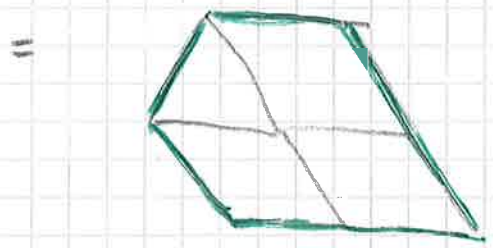
$$P+Q = \{ p+q : p \in P \text{ and } q \in Q \}$$

The today associahedron can be obtained as the Minkowski sum:

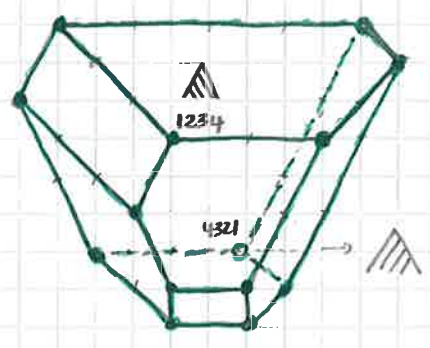
$$\text{Assoc}(n) = \sum_{I \text{ interval of } [n]} \Delta_I$$

Example:

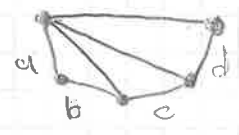
$$\text{Assoc}(3) = \begin{matrix} e_1 \\ \cdot \\ / \quad \backslash \\ e_3 \quad e_2 \end{matrix} + \begin{matrix} e_1 \\ \cdot \\ \backslash \\ e_2 \end{matrix} + \begin{matrix} e_1 \\ \cdot \\ / \\ e_2 \end{matrix} + \begin{matrix} e_1 \\ \cdot \end{matrix} + \begin{matrix} e_2 \\ \cdot \end{matrix} + \begin{matrix} e_3 \\ \cdot \end{matrix}$$



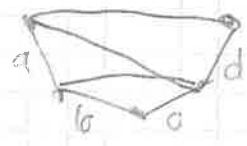
$$\text{Assoc}(4) = \Delta_{1234} + \Delta_{123} + \Delta_{234} + \Delta_{12} + \Delta_{23} + \Delta_{12} + \Delta_{13} + \Delta_{14} + \Delta_{24} + \Delta_{34} + \Delta_1 + \Delta_2 + \Delta_3 + \Delta_4$$



Why associahedron?



diag. flip



$((ab) c) d$

Application of the associative rule.

$(a(bc)) d$

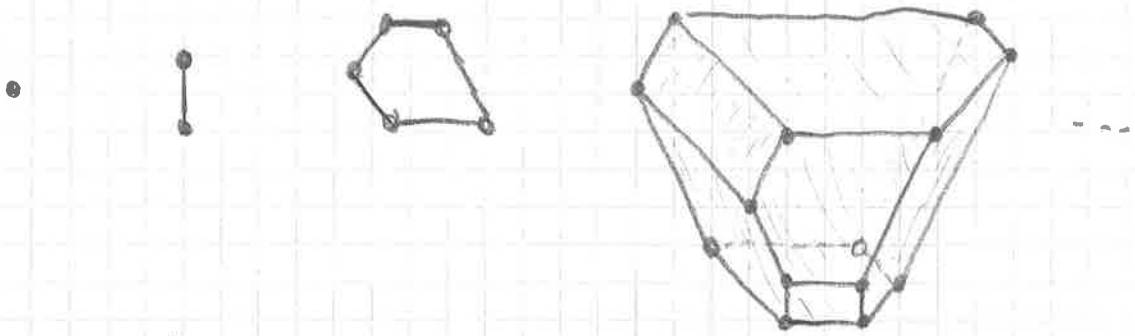
Vertices of Assoc(n)

: different ways to multiply n letter.

edges

: Applications of the associative rule.

- Many nice applications / properties.



$Asso(1)$	$Asso(2)$	$Asso(3)$	$Asso(4)$
1 vertex	1 edge 2 vertices	1 pentagon 5 edges 5 vertices	1 solid 6 hexagons + 4 squares 21 edges 14 vertices

- Inverting power series under composition (Lagrange inversion)  
(see Ardila-Aguilar '23)

The number of faces of the associahedron  $Asso(n)$  has nice applications in the context of formal power series.

If  $C(x) = x + c_1x^2 + c_2x^3 + \dots$

$D(x) = x + d_1x^2 + d_2x^3 + \dots$

and

$(D \circ C)(x) = x$  (compositional inverses)

then

$$d_1 = -c_1$$

$$d_2 = -c_2 + 2c_1^2$$

$$d_3 = -c_3 + 5c_2c_1 - 5c_1^3$$

$$d_4 = -c_4 + (6c_3c_1 + 3c_2c_2) - 21c_2c_1^2 + 14c_1^4$$

- Potential project:

study the Pitman-Stanley polytope and its volume related to parking functions (normalized volume)